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# MARSHALL GERSTEIN

APPLICATION NO. FILING D		ILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/591,632	06/09/2000		Susan Lindquist	27373/34978A	2820
	7590	12/29/2005		EXAM	INER
Marshall O		erstein		TURNER,	SHARON L
Murray & Borun 6300 Sears Tower				ART UNIT	PAPER NUMBER
233 South W				1649	
Chicago, IL	. 60606-6	5402	DATE MAILED: 12/29/2005		
					29/06

Please find below and/or attached an Office communication concerning this application or proceeding.





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APPLICATION NO. FILING DATE CONTROL NO.

FIRST NAMED INVENTOR / PATENT IN REEXAMINATION

ATTORNEY DOCKET NO.

**EXAMINER** 

**ART UNIT** 

PAPER

DATE MAILED:

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#### **Commissioner for Patents**

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 CFR 1.821(a)(1) and (a)(2). A computer readable form (CRF) of the sequence listing was submitted. However, the CRF could not be processed by the Scientific and Technical Information Center (STIC) for the reason(s) set forth on the attached CRF Diskette Problem Report.

Applicant is given ONE MONTH, or THIRTY DAYS, whichever is longer, from the mailing date of this letter within which to comply with the sequence rules, 37 CFR 1.821 - 1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 CFR 1.821(g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). In no case may an applicant extend the period for reply beyond the SIX MONTH statutory period. Direct the reply to the undersigned. Applicant is requested to return a copy of the attached CRF Diskette Problem Report with the reply.

Any inquiry of a general nature or relating to the status of this general application should be directed to the Group receptionist whose telephone number is (703) 308-0196.

Papers relating to this application may be submitted to Technology Center 1600, Group 1640 by facsimile transmission. The faxing of such papers must conform with the notice published in the Official Gazette, 1096 OG 30 (November 15, 1989). Should applicant wish to FAX a response, the current FAX number for Group 1600 is (703) 872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sharon L. Turner, Ph.D. whose telephone number is (571) 272-0894. The examiner can normally be reached on Monday-Thursday from 7:00 AM to 5:00 PM. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Janet Andres can be reached at (571) 272-0867.

Sharon L. Turner, Ph.D. December 21, 2005

SHARON TURNER, PM.D.

12-21-05

		Application No.:
NUCLEOTI	COMPLY WITH REQUIREMENTS FOR PAT DE SEQUENCE AND/OR AMINO ACID SEQU	JEMCE DISCEOSOVES
the Notice is obtained un	oust file the items indicated below within the tings attached to avoid abandonment under 35 U.  Inder the provisions of 37 CFR 1.136(a)).	3.C. § 193 (extensions of time may be
comply with following re		HOMITHEST ON INC. 1.021 W.OLG TOT WIG
<u> </u>	<ol> <li>This application clearly fails to comply with the require attention is directed to the final rulemaking notice pub OG 29 (May 15, 1990). If the effective filing date is o notice published at 63 FR 29620 (June 1, 1998) and</li> </ol>	in or after July 1, 1998, see the final rule making
	<ol><li>This application does not contain, as a separate part Listing as required by 37 C.F.R. 1.821(c).</li></ol>	of the disclosure on paper copy, a "Sequence
L	<ol> <li>A copy of the "Sequence Listing" in computer readab</li> <li>C.F.R. 1.821(e)</li> </ol>	
	4. A copy of the "Sequence Listing" in computer readable content of the computer readable form does not com and/or 1.823, as indicated on the attached copy of th	e marked -up "Raw Sequence Listing."
	<ol><li>The computer readable form that has been filed with and/or unreadable as indicated on the attached <u>CRF</u> computer readable form must be submitted as required.</li></ol>	red by 37 C.F.R. 1.825(d).
	6. The paper copy of the "Sequence Listing" is not the "Sequence Listing" as required by 37 C.F.R. 1.821(6)	same as the computer readable from of the e).
	7. Other:	
<b>qA</b> [∑]	pplicant Must Provide:  An initial or substitute computer readable form (CRF)	
	*	
X	A statement that the content of the paper and compute applicable, include no new matter, as required by 37 (1.825(b) or 1.825(d).	0.1 .10. 1.02 1(0) or 1.02 1(1) or 1.02 1(1)
Fa	or questions regarding compliance to these re	quirements, please contact:
· Fo	or Rules Interpretation, call (703) 308-4216 or CRF Submission Help, call (703) 308-4212 atentin Software Program Support	703-287-0200

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## STIC Biotechnology Systems Branch

### RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:	09/59/6328
Source:	18W/6
Date Processed by STIC:	12/8/05

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

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FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

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http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom. Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1 EFS-Bio (<a href="http://www.uspto.gov/ebc/efs/downloads/documents.htm">http://www.uspto.gov/ebc/efs/downloads/documents.htm</a>, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
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   U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building. 401 Dulany Street.
   Alexandria, VA 22314

Revised 01/24/05

#### Raw Sequence Listing Error Summary

ERROR DETECTED	SUGGESTED CORRECTION SERIAL NUMBER: 09/59/, 632 B
ATTN: NEW RULBS CASES	: Plæase disregard english "Alpha" Headers, which were inserted by PTO Software
Wrapped Nucleics Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your tile was retrieved in a word processor after creating it. Please adjust your right margin to .3: this will prevent "wrapping."
2Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.
3Misaligned Amino Numbering	The numbering under each 5 <sup>th</sup> amino acid is misaligned. Do not use tab codes between numbers: use space characters, instead.
4Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.
SVariable Length	Sequence(s) contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220><223> section that some may be missing.
6 Patentin 2.0 "bug"	A "bug" in Patentin version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) /5/17, 40. Normally, Patentin would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.
7Skipped Sequences (OLD RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence:  (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)  (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  This sequence is intentionally skipped
	Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.
8Skipped Sequences (NEW RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence. <210> sequence id number <400> sequence id number 000
9Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing.  Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present.  In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
Invalid <213> Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence
11Use of <220>	Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section.  (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)
\ "bug"	Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
3 Misuse of n/Xaa	"n" can only represent a single nucleotide; "Xaa" can only represent a single amino acid



IFW16

RAW SBOURNCE LISTING

DATE: 12/08/2005

www.uspto.gov

PATENT APPLICATION: US/09/591,632B

TIME: 09:37:52

Input Set : A:\34978a.txt

Output Set: N:\CRF4\12082005\I591632B.raw

pg 1-5 4 <110> APPLICANT: Lindquist, Susan Li, Liming Ma, Jiyan Liu, Jia-Jia Sondheimer, Neal Scheibel, Thomas 11 <120> TITLE OF INVENTION: RECOMBINANT PRION-LIKE GENES AND PROTEINS AND MATERIALS AND METHODS COMPRISING SAME 14 <130> FILE REFERENCE: 30554/34978A 16 <140> CURRENT APPLICATION NUMBER: 09/591,632B 17 <141> CURRENT FILING DATE: 2000-06-09 19 <150> PRIOR APPLICATION NUMBER: US 05/138,833 ) 60/38,833 Does Not Comply 20 <151> PRIOR FILING DATE: 1999-06-09  $10(\rho, 2)$ B--> 22 <160> NUMBER OF SEQ ID NOS: 65 onected Diskette Neede 24 <170> SOFTWARE: PatentIn Ver. 2.0 This version of Potestin has buge (see item 6 on Error Summary Sheet) Suggestion: use a more recent version

J Patent In.

You can download

Patent In 3.3(at ho

Clayed from the USPTO

website BRRORED SEQUENCES

<210> 70 last sequence in submitted file <211> 286 <212> PRT <213> Saccharomycees cerevisia

<400> 70

Met Ser Ile Gln His Phe Arg Val Ala Leu Ile Pro Phe Phe Ala Ala 1 5 10 15

see pp 3-4-5 for more enoue RAW SEQUENCE LISTING ERROR SUMMARY PATENT APPLICATION: US/09/591,632B DATE: 12/08/2005 TIMB: 09:37:54

Input Set : A:\34978a.txt

Output Set: N:\CRF4\12082005\1591632B.raw

Use of <220> Feature (NEW RULES):

(Sec.1.823 of new Rules)

Sequence(s) are missing the <220> Peature and associated headings. Use of <220> to <223> is MANDATORY if <213> ORGANISM is "Artificial Sequence" or "Unknown". Please explain source of genetic material in <220> to <223> section (See "Federal Register," 6/01/98, Vol. 63, No. 104,pp.29631-32) This is due to Patent In 20 bug (see tem 6 on Ever fummery

Seq#:15,17,40

sel p.4 for sample

12/8/05

09/591,6328 5

(from the end of Sequence 3)

ggt gaa tga aggetgettt aaaaacaaga aagaaagaag aaggaggaaa 1286
Gly Glu 355 delete this, serie no amera acid is shown with the 5top
agaaggetat aagggtatgt atataggeag acaaaaagga aaattaagtg caaatataaa 1346
caaaaatgte atagaagtat ataatagtt tgaaattet gttgetteta tttattett 1406
(tga)
gttaccecaa ccacagaatt c 1427

VERIFICATION SUMMARY

PATENT APPLICATION: US/09/591,632B

DATE: 12/08/2005 TIME: 09:37:54

Input Set : A:\34978a.txt

Output Set: N:\CRF4\12082005\I591632B.raw

L:497 N:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:3

L:803 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ#:15, <213>

ORGANISM: Artificial Sequence

L:803 N:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:15, <213>

ORGANISM: Artificial Sequence

L:803 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:15,Line#:803

L:935 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ#:17, <213>

ORGANISM: Artificial Sequence

L:935 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:17, <213>

ORGANISM: Artificial Sequence

L:935 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:17,Line#:935

L:2954 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:39

L:2962 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ#:40, <213>

ORGANISM: Artificial Sequence

L:2962 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:40, <213>

ORGANISM: Artificial Sequence

L:2962 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:40,Line#:2962

L:22 M:203 E: No. of Seq. differs, <160> Number Of Sequences: Input (65) Counted (70)

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